



# **SAXS Fundamentals**

#### Basic SAXS theory, experiments, and data interpretation

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## X-ray scattering of proteins







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## **Examples of sample cells:**

- Static cell
- Oscillating "flow" cell
- Continuous flow
- Co-flow
- Chromatography-coupled continuous flow
- High-pressure cell





- foldedness, packing, flexibility
- overall shape
- stoichiometry and shape of complexes
- conformational changes as functions of time, ligands, solution conditions

## SAXS signal is the interference of scattering from all electrons



Momentum transfer vector:  $q = (4\pi/\lambda)\sin\theta$ 

incident X-ray



- The sum of the scattering from all electrons in a protein is mathematically equivalent to the Fourier Transform of its electron density,  $\rho(r)$ . In other words, scattering is directly related to **protein structure**.
- Amplitude of the scattered wave:

**Fourier Transform!** 

Amplitude =  $\int \rho(r)e^{iq\cdot r}dV$ 

## Scattering of proteins in solution



Scattering from *N* identical proteins under dilute conditions:

Scattering intensity 
$$\longrightarrow I(q) \propto N < |Amplitude_{particle}|^2 >_{\Omega}$$

## Therefore: SAXS gives info about rotational average of single protein **for a homogeneous sample**



Integrate and normalize based on beamstop diode readings

$$I_{protein} = I_{solution} - I_{buffer}$$

#### **Important:**

Buffer must be matched **EXACTLY**, and the profiles must be accurately normalized for changes in X-ray intensity.



Buffer scatters some and absorbs X-rays. Affects contrast and needs to be subtracted!

**Contrast is small**:  $\rho_{water} = 0.334$  electrons/Å<sup>3</sup> and  $\rho_{protein} \sim 0.44$  electrons/Å<sup>3</sup> **At standard temperature and pressure!** 

- Exactly matched buffers
- Perform buffer exchange with size exclusion chromatography (SEC) or dialysis and save excess exact buffer
- Perform SEC-SAXS at beamline
- Protein quality
- Optimize sample purity, stability, and conformational heterogeneity beforehand if possible
- (e.g. SDS-PAGE, chromatography, dynamic light scattering, multiangle light scattering)

## **Be careful of** *x***-axis definitions and units!**



- In SAXS, this variable is sometimes called momentum transfer or scattering vector. Can have units of Å<sup>-1</sup> (biological) or sometimes nm<sup>-1</sup> (materials).
- Usually...
- $q = (4\pi/\lambda) \sin\theta$
- $s = (2/\lambda)sin\theta$

Always check that units in analysis programs match your input! Always define in methods!



Integrate and normalize based on beamstop diode readings

Approaches zero

$$I_{protein} = I_{solution} - I_{buffer}$$

## $I(q) \approx S(q)F(q)$

- *F*(*q*) = form factor (scattering from single protein, rotationally averaged)
- S(q) = structure factor. Describes interparticle interactions [Note: this is not the same as "structure factor" in crystallography]
- Ideally and in dilute solutions,  $S(q) \approx 1$







Sample characteristic	Parameter to study
Purity/monodispersity	Guinier plot
Oligomeric state	Volume and molecular weight
Shape/anisometry	Envelope, <i>R<sub>g</sub></i> , <i>P</i> ( <i>r</i> )
Flexibility (folded/non-folded)	Kratky plot, Porod exponent
Interparticle interactions	Guinier, Concentration series
Conformation	Overall scattering profile

#### Different ways to inspect data by eye



Can be used to estimate  $R_g$  and I(0), which tell us about protein shape and size



I(0): forward scattering  $R_g$ : Radius of gyration



## Useful definitions of $R_g$ :

Radius of gyration is the root mean square distance of a particle from the center of its electron density



 $R_g$  – radius of gyration  $R_M$  – radius of mass-equivalent sphere  $R_H$  – hydrodynamic radius (not always >  $R_g$ )  $R_R$  – maximum hard sphere radius



#### **Experimental lysozyme parameters**

MW = 14.4 kDa  $R_R = 23 \text{ Å}$  $R_g = 15 \text{ Å}$ 

 $R_g$  (pressure unfolded) = 32 Å

## **Guinier analysis continued**

Can be used to estimate  $R_g$  and I(0), which tell us about protein shape and size



I(0): forward scattering  $R_g$ : Radius of gyration

Guinier approximation at small angles  $(qR_g < 1.3)$ :

$$I(q) = I(0)e^{-R_g^2 q^2/3}$$

$$\ln[I(q)] = \ln[I(0)] - \frac{R_g^2}{3}q^2$$

y = mx + b



Guinier approximation at small angles  $(qR_q < 1.3)$ :

$$I(q) = I(0)e^{-R_g^2 q^2/3}$$

$$\ln[I(q)] = \ln[I(0)] - \frac{R_g^2}{3}q^2$$

Your Guinier plot should be **linear**. Upturns or downturns may reflect aggregation and/or interparticle effects!

![](_page_25_Figure_1.jpeg)

Guinier approximation at small angles  $(qR_g < 1.3)$ :

$$I(q) = I(0)e^{-R_g^2 q^2/3}$$

$$\ln[I(q)] = \ln[I(0)] - \frac{R_g^2}{3}q^2$$

Aggregation leads to an upturn at low-q.
Radiation damage often causes aggregation.

I(0) depends on contrast, molecular weight, concentration

 $I(0) \propto c_{molar} (\Delta \rho \cdot V_p)^2 \propto c_{molar} M^2 \propto c_{mass} M$ 

- *M* = molecular weight (kDa)
- $V_{\rho}$  = particle volume (Å<sup>3</sup>)
- $c_{molar}$  = molar concentration (µM)
- $c_{mass}$  = concentration in mg/ml
- $\Delta \rho = \rho_{\rho} \rho_{s} =$  electron density contrast between the hydrated particle ( $\rho_{\rho}$ ) and solvent ( $\rho_{s}$ ) (e<sup>-</sup>/Å<sup>3</sup>)

*I*(0) is related to a protein's molecular weight.

![](_page_26_Picture_8.jpeg)

https://www.genengnews.com/magazine/295/new-peaks-inhydrophobic-bioprocessing/

Stuhrmann, H. B. (1980). Synchrotron Radiation Research, edited by H. Winick, Doniach, S., pp. 513-531. New York: Plenum Press.

## **Relationship between MW, concentration, and I(0)**

I(0) is proportional to MW<sup>2</sup>.  $I(0) \propto c_{molar} M^2 \propto c_{mass} M$ 

![](_page_27_Picture_2.jpeg)

monomer-dimer transition

- At the same molar concentration, a sample of dimers has an *I*(0) that is 4x that of a sample of monomers.
- However, in a monomer-dimer transition, the molar concentration is halved due to dimerization, so the net change in I(0) is a factor of  $4 \times 1/2 = 2$ .

Kratky plots are sensitive to conformation

- Replot as  $Iq^2$  vs q
- Emphasizes the power-law dependence in mid-q region (~q -n) that contains information about foldedness, compactness, flexibility.

![](_page_28_Figure_3.jpeg)

![](_page_29_Figure_1.jpeg)

At high angles, I(q) decays with a power-law dependence,  $q^n$ .

folded, compact:  $n \sim -4 \implies$  peak random polymer chain:  $n \sim -2 \implies$  plateau extended polymer chain:  $n \sim -1 \implies$  rise

#### Kratky plots are sensitive to background subtractions

![](_page_30_Figure_1.jpeg)

- properly matched buffer
- similar buffer with glycerol added
- different buffer

The Porod volume is the ratio of *I*(0) and the area under the Kratky plot (Q<sub>p</sub>). For compact particles, it gives an estimate of the volume of the macromolecule in solution.

$$Q_p = \int_0^\infty q^2 I(q) dq \qquad \qquad V_p = \frac{2\pi^2 I(0)}{Q_p}$$

where  $Q_p$  is the Porod invariant (area under the plot)  $V_p$  is the Porod volume

Can be estimated in RAW or Atsas

## **MW** estimation

Can be estimated from SAXS data in multiple ways:

- From Porod Volume
- From absolute scaled *I*(0)
- From a reference standard
- From volume of correlation

 Important: As a rule of thumb, assume at least ~10% uncertainty. Better to combine with other techniques or use for things like checking oligomeric state.

- Can be calculated from a SAXS profile using a Porod invariant approach implemented in RAW.
- Porod volume (in  $Å^3$ ) is ~1.6-1.7x the MW (in Da).
- Pros: Fairly accurate and does not need standard, concentration, or absolute calibration.
- Cons: Sensitive to subtraction errors, does not work for non-protein or extended structures.

Piiadov, V., de Araújo, E. A., Oliveira Neto, M., Craievich, A. F. & Polikarpov, I. (2018). Protein Sci. 2–22. DOI: 10.1002/pro.3528

- Scattering at I(0) is proportional to MW of the macromolecule. If a reference sample is known, it can be used to calibrate.
- Pros: Can be accurate if standard and sample are similar and conditions are similar
- **Cons**: Requires accurate concentration, similar standard and standard conditions (e.g. same buffer).

![](_page_35_Figure_1.jpeg)

Svergun & Koch (2003). Reports on Progress in Physics, 66, 1735–1782.

## **Pair-distance distribution function**

- *P*(*r*) is the inverse Fourier Transform of *I*(*q*) and represents the histogram of electron-pair distances in a protein.
- When  $D_{max}$  is well-defined, provides  $R_g$ , I(0), shape information

![](_page_36_Figure_3.jpeg)

 $\frac{\int_{0}^{D \max} r^{2} P(r) dr}{2 \int_{0}^{D \max} P(r) dr}$  $R_g^2 =$ 

Svergun & Koch (2003). Reports on Progress in Physics, 66, 1735–1782.

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## In RAW:

- Bayesian Indirect Fourier Transform (BIFT)
- GNOM from ATSAS package (if ATSAS is installed)

**Important:** Methods require iterative adjustment of a few parameters:  $D_{max}$  and  $\alpha$  (a fit weighting parameter).

## P(r) determination

![](_page_38_Figure_1.jpeg)

## Criteria:

- 1. The *P*(*r*) function smoothly fits the measured scattering profile.
- 2. The P(r) function goes to zero at r=0 and  $r=D_{max}$

## Additional usual criteria:

- 1. The  $R_g$  and I(0) from the Guinier fit and the P(r) function agree well.
- 2. The *P*(*r*) function is always positive.

![](_page_39_Figure_0.jpeg)

Svergun & Koch (2003). Reports on Progress in Physics, 66, 1735–1782.

## Fitting data to theoretical scattering

10<sup>2</sup> • CRYSOL from ATSAS package (Svergun group, EMBL) • FoXS server (Sali group, UCSF) 10<sup>°</sup> /(d) SAXS data More on this with Steve GNOM **10**<sup>-2</sup> **DAMMIF** fit **CRYSOL** fit 0.1 0.15 0.2 0.25 0.05 0.3 0 q (Å-1)

- Following *P*(*r*) analysis, a low-resolution 3D model can be reconstructed from SAXS data.
- Options in ATSAS package
- More on this later, use carefully!

![](_page_41_Figure_4.jpeg)

Sample characteristic	Parameter to study
Purity/monodispersity	Guinier plot
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![](_page_43_Figure_0.jpeg)